

# **Trinational MapBiomas Pampa**

**Collection 4**

**Version 1**

**2024**

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# <span id="page-3-1"></span><span id="page-3-0"></span>**1 INTRODUCTION**

# **1.1 Scope and content of the document**

The objective of this document is to describe the theoretical basis, justification and methods applied to produce annual maps of land use and land cover (LULC) in the South American Pampa biome of Argentina, Brazil and Uruguay from 1985 to 2023 (Collection 4). The document presents a general description of the satellite image processing, the feature inputs and the process, step by step, applied to obtain the annual classifications.

# **1.2 Region of Interest**

<span id="page-3-2"></span>*Trinational Pampa MapBiomas* initiative was created to produce LULC annual maps for the Pampa biome corresponding to Argentina, Brazil and Uruguay territories. Other phytogeographic regions closed or interspersed with Pampa were partially added to allow a better regional delimitation. Thus, in Argentina, a neighboring area of *Espinal* phytogeographic province and Paranaense phytogeographic province were also included (**Figure 1**).

The total mapped area was 109.21 million hectares (Mha), being 83.81 Mha in the Pampa (21%), 23.15 Mha in the Espinal (77%) and 2.25 Mha in the Paraná river delta (2%).



**Figure 1.** Region of interest mapped in the Trinational MapBiomas Pampa initiative (collection 4), including the typical areas of the Pampa, Espinal, and Parana river Delta.

# <span id="page-4-0"></span>**2 GEOGRAPHICAL UNITS OF CLASSIFICATION**

In each country, the classification process was carried out in smaller spatial units. These units correspond to subregional homogeneous zones based on several criteria, nationally defined, including geomorphology, soils, vegetation types and land use patterns.

The study area was divided in 27 homogeneous zones, thirteen in Argentina, seven in Brazil and seven in Uruguay (**Figure 2**).

The purpose of these geographical units of classification was to try to reduce samples and class confusion and to allow a better balance of samples and results to improve accuracy.



**Figure 2.** Country defined homogeneous subregions used in the classification process of the South American Pampa biome.

#### <span id="page-5-0"></span>**3 REMOTE SENSING DATA**

#### <span id="page-5-1"></span>**3.1 Landsat Collection**

The imagery dataset used in the *Trinational Pampa MapBiomas* Collection 4 was obtained from the Landsat sensors Thematic Mapper (TM), Enhanced Thematic Mapper Plus (ETM+) and the Operational Land Imager and Thermal Infrared Sensor (OLI-TIRS), on board of Landsat 5, Landsat 7 and Landsat 8, respectively. The Landsat imagery collections with 30 m-pixel resolution were accessible via Google Earth Engine, and were provided by NASA and USGS. The *Trinational Pampa MapBiomas* Collection 4 used Collection 2, Tier 1 Landsat Surface Reflectance products from USGS, which underwent through radiometric calibration and orthorectification correction based on ground control points and digital elevation model to account for pixel co-registration and correction of displacement errors. A total of 74 scene boundaries were used to cover the entire region, where each of them is totally or partially within the area.

According to the year and the quality of available images, a specific Landsat collection was selected:

- from 1985 to 1999: Landsat 5,
- year 2000: Landsat 5 (Brazil and Uruguay) and Landsat 7 (Argentina),
- years 2001, 2002 and 2012: Landsat 7,
- from 2003 to 2011: Landsat 5,
- from 2013 to 2023: Landsat 8.

# <span id="page-6-0"></span>**3.2 Landsat Mosaics**

All Landsat scenes were merged and clipped within standardized spatial units for data processing, hereafter called 'charts', based on the grid of the World International Chart to the Millionth, at the 1:250,000 scale level. A total of 99 charts were used to cover the biome (**Figure 3**). Each chart sets the geographical limits to build up the temporal and spatial Landsat mosaics and to proceed with digital classification procedures. Each geographical classification unit was generated by merging the correspondent mosaic charts.



**Figure 3.** Charts scheme used to build up Landsat mosaics used throughout the classification process.

#### <span id="page-7-0"></span>**3.3 Definition of the temporal period**

The mosaics were generated by the composition of pixels in each set of images for a certain time period. The periods of the year in which the images are selected vary by country and result from the balance between the probability of maximizing the differences in classes spectral behavior and the availability of cloud-free images. In Uruguay and Brazil, the considered period was from September to November of each year, while in Argentina from May to July. Nevertheless, for some years this period was adapted (extended one to three months) for each chart according to the availability of cloud-free images. For example, if during the three-months period a cloud free mosaic could not be generated, the period was extended to four, five or six months to get a complete or almost complete mosaic.

For the selection of Landsat scenes a threshold of 90% of cloud cover was applied (i.e., any available scene with up to 90% of cloud cover was accepted). This limit was established based on a visual analysis, after many trials observing the results of the cloud removing/masking algorithm. Time periods were extended for some years and portions of the study area when the availability of cloud-free images was low.

#### <span id="page-7-1"></span>**4 CLASSIFICATION**

#### <span id="page-7-2"></span>**4.1 Overview of methodological process**

The methodological procedures of Collection 4 included several steps (**Figure 4**). The first step was to generate annual Landsat image mosaics based on yearly periods. The second step was to generate a new selection of temporally stable samples derived from the stable areas of the maps of Collection 3. Stable areas were defined in sub-periods of near 10 years-length (1985-1994, 1995-2004, 2005-2014 and 2015-2023). Then, the spectral feature inputs derived from the Landsat bands were extracted and associated to each sample point. Once the samples for each LULC class were selected for each of the subregions, it was possible to adjust the training data set according to its statistical needs. The number of training samples for each class was defined initially according to the proportion of the area of each class and its variation along the classification period (sample size balance) taken from Collection 3. Additionally, to improve the classification results, complementary samples were generated, defining georeferenced points of different classes by visual interpretation of historical satellite images (high and very high resolution images) and time series of vegetation indices. Based on the adjusted training data set, a supervised classification using the random forest algorithm was run.

Following that, gap, spatial, temporal and frequency filters were applied to remove classification noise and stabilize the classification. The LULC maps of each subregion were integrated to generate the final map of Collection 4. The MapBiomas annual LULC maps were used to derive the transition analysis (with an additional spatial filter application) and statistics. The statistical analysis covered different spatial territories, such as countries, state similar and municipality similar levels of each country, water basin and phytogeographic provinces.



**Figure 4.** Classification process of Collection 4 in the *MapBiomas South American Pampa* biome for the period 1985-2023.

# <span id="page-9-0"></span>**4.2 Map Legend**

The classification for the *Trinational Pampa MapBiomas* initiative using Landsat mosaics included ten land use and land cover (LULC) classes (**Table 1**): Forest formation (3), Savanna formation (4), Wetland (11), Grassland (12), Pasture (15), Silviculture (9), Temporary crop (19) , Perennial crop (36), Non vegetated area (22) and River, lake or ocean (33). A full description of the legend is described in the document Legend [Description](https://pampa.mapbiomas.org/wp-content/uploads/sites/9/2024/12/MBPampa_Col4_pdf_LegendDescript_EN.pdf).





# <span id="page-10-0"></span>**4.3 Annual Mosaics**

The total available bands of the Trinational MapBiomas Pampa feature space is composed of 93 input variables, including the original Landsat bands, fractional and textural information derived from these bands (**Table 2**). Reducers were used to generate temporal features such as:

• Median: median of the pixel values of the best mapping period defined by each country.

- Median dry: median of the quartile of pixels with the lowest NDVI values.
- Median wet: median of the quartile of pixels with the highest NDVI values.
- Amplitude: amplitude of variation of the index considering all the images of each year.
- stdDev: standard deviation of all pixel values of all images of each year.
- Min: lower annual value of the pixels of each band.

**Table 2.** Variables included in the feature space used in the classification of the Mapbiomas Trinational Pampa Landsat image mosaics. Collection 4 (1985-2023).









## <span id="page-15-0"></span>**4.4 Classification algorithm, training samples and parameters**

Classification was performed subregion by subregion, year by year, using the Random Forest algorithm (Breiman, 2001) available in Google Earth Engine, running 100 iterations (random forest trees).

Training samples for each subregion were defined following a strategy of using random pixels where land use and land cover remained the same (stable samples) along the maps of Collection 3 over different subperiods: 1985-1994, 1995-2004, 2005-2014 and 2015-2023, named as "stable samples".

The identification of stable areas to extract random pixels or "stable samples" was based on a criterion of minimum temporal frequency aiming to ensure confidence to use them as training areas. Each pixel should be classified with the same LULC class throughout each sampling subperiod (1985-1994, 1995-2004, 2005-2014 and 2015-2023). A layer of pixels with a stable classification for each subperiod was then generated. From the resulting layer of stable samples, a subset of 2,000 samples for each subregion was randomly generated for each class for each subperiod. It is important to clarify that not all of these samples were necessarily used in the classification process for each year.

In addition, a classical procedure to detect outliers was implemented. For each year, and within each training class, we searched for outliers in all variables. An outlier was defined as any value of a specific variable lower or higher than 1.5 times the interquartile range (the first quartile value subtracted from the third quartile value) considering all values of this variable within a specific class of a particular year. Samples containing values considered outliers for some variables were not discarded a priori, but fixed by replacing those values with the 5th percentile or the 95th percentile, whenever they were lower or higher than the thresholds considered, respectively. Finally, we disregarded only those samples containing simultaneously more than 20 variables of the feature space with values considered as outliers.

#### <span id="page-15-1"></span>**4.4.1 Sample size balance**

We generated a fixed number of samples for each class, subregion and subperiod for classification. However we used in the classification process only a random subset based on the class area proportion within each subregion, considering each year to be classified. To do this we previously adjusted linear simple functions to estimate the area of each class for each year from 1985 to 2023, based on the annual class area observed along the Collection 3 dataset. These functions were used to estimate, for each year, the proportion of each class to train the classifier. Then, these annual proportions for each class were set to extract a subset of the available samples for the correspondent classification in each year. Whenever the classification resulted in overestimation or underestimation of the class after comparing with supplemental information (e.g.: Collection 3 maps, Landsat mosaics, independent crop type maps, etc.) this proportion was adjusted changing the bias (intercept of linear regression model) accordingly. Notwithstanding the above, a minimum number of 50 to 100 samples per class was set for each region and year, to ensure the correct detection of the less frequent categories.

#### <span id="page-16-0"></span>**4.4.2 Complementary samples**

The need for adding complementary samples was evaluated by visual inspection of the output of a preliminary classification, with both Landsat and high-resolution images available in GEE and time series of vegetation indices, and also by comparing with the Collection 3 classification. Complementary sample collection was also done manually using points in Google Earth Engine Code Editor. All the false-color images of the 39 years (1985-2023) Landsat mosaics and the vegetation index time series were checked at the selected point. Based on the knowledge of each subregion, the samples for different classes were collected. Complementary samples previously generated for Collection 3 were also added in some regions to improve the classification when necessary.

#### <span id="page-16-1"></span>**4.4.5 Final classification**

The final classification was performed for all subregions and years combining stable and complementary samples. For some years the classification output resulted in anomalous results for some classes. Then, it was necessary to improve the classification through a new sample size balance and a specific set of complementary samples.

#### <span id="page-16-2"></span>**4.4.6 Post-classification**

The results of the final classification were improved through a sequence of filters, to correct missing data, "salt-and-pepper" classification errors and, specially, cases of misclassification. Temporal filters were done with the aim to generate a more stable classification pattern over time, avoiding unexpected class variation during short times.

## <span id="page-17-0"></span>4.4.6.1 Gap fill filter

A filter to fill no-data pixels ("gaps") was applied. Because theoretically the no-data values are not allowed, they are replaced by the temporally nearest valid classification. In this procedure, if no "future" valid position was available, then the no-data value was replaced by its previous valid class. Therefore, gaps should only exist if a given pixel has been permanently classified as no-data throughout the entire temporal domain.

# <span id="page-17-1"></span>4.4.6.2 Spatial filter

The spatial filter avoids unwanted modifications to the edges of the pixel groups, a spatial filter was built based on the "connectedPixelCount" function. Native to the GEE platform, this function locates connected components (neighbors) that share the same pixel value. Thus, only pixels that did not share connections to a predefined number of identical neighbors were considered isolated. In this filter, at least six connected pixels were needed to reach the minimum connection value. Consequently, the minimum mapping unit is directly affected by the spatial filter applied, and it was defined as 6 pixels (~0,5 ha).

# <span id="page-17-2"></span>4.4.6.3 Temporal filters

The temporal filters use the information from the year before and after to identify and correct a pixel misclassification, considered as cases of invalid transitions. In a first step, the filter looks for specific cover classes (3, 4, 11, 12, 33) that are not this class in 1985 and were kept unchanged in 1986 and 1987 and then corrects the 1985's value to avoid any regeneration in the first year. In a second step, the filter looks at a pixel value in 2023 that for example is not 11 (wetland) but is equal to 11 in 2021 and 2022. The value in 2023 is then converted to 11 to avoid any regeneration in the last year. The third process looks in a 3-year moving window to correct any value that changed in the middle year and returns to the same class next year.

A temporal filter with a slightly different approach was applied to solve problems in forestry classification. To correct the problems related to the years with forestation cutting, interrupting a continuous series of years classified as forestry we used a special six-year spatial filter. The rule of application checks whether two years before and two years after the class was forestation, if this is true it shifts the classification of the two middle years to silviculture.

#### <span id="page-18-0"></span>4.4.6.4 Frequency filter

To correct classification problems associated with some classes in specific regions, frequency filters were applied to use the temporal information available for each pixel to correct false positives cases. The general logic of the frequency filter is to search for each pixel a specific combination of classes throughout the 39 years producing a subset of pixels considered eligible for correction. Then the filter detects and overwrites only those years where cases of false positives are present using a fixed class value, that usually is the mode of classifications detected along the temporal range. This type of filter was used with parsimony to solve very well delimited cases.

#### <span id="page-18-1"></span>4.4.6.5 Specific filters

Additional specific filters were generated to remove unexpected classification changes that remained after applying previous standard filters. In general, these filters operate based on frequency and incidence. Frequency is the number of years a class occurs in a pixel. The incidence is the number of times that a pixel classification changes along the entire series of years. The application of these filters was limited to fix problems of false transitions between specific classes.

We also used a filter that eliminates problems related to the shadows of the mountains. These filters use characteristics of the relief, in addition to the frequency to be applied. It corrects false positives of water and wetland in shaded slopes in regions with wavy relief. The filter selects all pixels classified as water at least in one year but in less than 37 years (<95%), or as wetland at least in one year but in less than 35 years (<90%), whenever occurring in areas of cliffs and slopes, established by a combination of slope data (SRTM derived) with HAND (Height Above the Nearest Drainage) database, to define places where it is not expected the presence of water or wetland. In such cases, both classes were replaced by the class corresponding to the pixel mode.

A filter to smooth abrupt transitions between the first and the second year (1985-1986) and the last and penultimate years (2022-2023) was applied only in Argentina and Brazil. It has been observed in previous collections, that the last year of the series registered an unexpected increase in the area of anthropic classes and a decrease of natural classes, most likely corresponding to an artifact resulting from the set of applied filters. To alleviate the problem, a filter was developed to smooth this abrupt transition, avoiding all transitions from natural areas to anthropic areas, and vice versa, in patches equal to or smaller than 2 hectares. In these cases, the corresponding pixels from the last year receive the same classification as the penultimate year as well as pixels from the first year receive the same classification as the second year.

Exceptionally, the spatial effect of some filters was limited to a set of polygons, in such a way as not to modify the entire zone classification. Similarly, in some cases, filters were applied only for specific years. Examples of these filters include: a grassland filter that unifies wet and dry years, taking into account the coverage of that place and not the rainfall of a particular year. Or a rice filter that corrects sites classified as wet grasslands, only for certain years, as long as it has been previously classified as agriculture.

#### <span id="page-19-0"></span>**4.4.7 Discrimination Between Pasture and Agriculture**

In much of the study region (Uruguay, southern Brazil), previous collections jointly classified annual crops and perennial pastures into a single category (Class 21: agriculture or pasture). For these regions as well as in three regions of Argentina, this category was separated into its main components—temporary crops and perennial pastures—using a methodology different from the one previously described. The inputs and methodology used are detailed below.

#### <span id="page-19-1"></span>**4.4.7.1 Imagery**

To separate Class 21 (agriculture-pasture as a single class) into agricultural crops and pastures for the entire temporal series (1985–2023), satellite images from Landsat 5, 7, 8, and 9 were used. To harmonize measurements across sensors, the Landsat image collections were harmonized following the approach proposed by Roy et al. (2016).

From the harmonized image collection, quarterly and annual composites were generated:

# **A) Quarterly composites:**

- Median of reflective bands (B, G, R, NIR, SWIR1, SWIR2).
- Median of various spectral indices (NDVI, GNDVI, NDMI, NBR).

# **B) Annual composites (corresponding to an agricultural year: July–June):**

- Median, maximum, minimum, standard deviation, and day of the year for reflective bands (B, G, R, NIR, SWIR1, SWIR2).
- Median, maximum, minimum, standard deviation, and day of the year for spectral indices (NDVI, GNDVI, NDMI, NBR).

A total of 81 bands of information were considered for the classification process.

# <span id="page-20-0"></span>4.4.7.2 Classification

From the Collection 4 classification output a mask of Class 21 was applied for each year within the study period, and only the pixels within this mask were reclassified. A supervised approach using the Random Forest classifier was employed for the classification. Ground truth data, available only for Uruguay, were used mixing three climatically contrasting years: 2015, 2016, and 2020 (**Figure 5**). These data allowed the training of a generic classifier that accounts for climatic variability and was applied to each year in the temporal series. Therefore, samples of temporary crop and pasture from Uruguay were used to train the classifier, which was then applied in Argentina, Brazil and Uruguay.



Figure 5: distribution of temporary crop and pasture training samples.

# <span id="page-21-0"></span>4.4.7.3 Post-processing

Post-processing involved applying a modal spatial filter (3x3 window) and a temporal filter (3 years) exclusively for pastures. The purpose of the temporal filter was to eliminate pastures lasting less than one year, a scenario that is agronomically unlikely since pastures generally have a lifespan of 3 to 4 years.

The generated map was then integrated with the original map, resulting in an updated cartography that separates Class 21 into temporary crops (class 19) and perennial pastures (class 15) (**Figure 6**).



**Figure 6:** Illustrative image of the separation of Class 21 into temporary crop (green) and pasture (yellow), along with the integration into the original map.

# <span id="page-22-0"></span>**5 VALIDATION STRATEGIES**

Validation was performed for the classifications of the years 1986, 1991, 1996, 2001, 2006, 2012, 2018 and 2022 following the good practices recommendations proposed by Olofsson et al. (2014) for area and error estimation. A total of 2,330 samples were defined as necessary for the analysis. The number of samples for each class was proportional to the area of each class obtained from Collection 1 for the year 2010. Independent samples were raffled and class classified by visual interpretation of Landsat images, very high resolution images from Google Earth and time series of vegetation indices. Two interpreters evaluated each of the sample points generated from the stratified random design. In those sample points where discordance in class classification was detected among interpreters, a third interpreter defined the final class assignment. When a final class could not be defined by the three interpreters (e.g. three different class assignments), a final class was agreed by a team of interpreters. More details of the validation methodology are described in Baeza et al. (2022).

Validation results for collection 4 are in process and will be added soon to the ATBD document in a next version. For this collection were included samples discriminating the classes pasture and temporary crops for the years 1991, 1996, 2006, 2012 and 2022. For collection 3, validation results showed an overall accuracy of 75% for 1986, 79 % for 2001 and 80% for 2018. In all three cases, most of the associated errors were location mismatches rather than quantity mismatches (see Pontius and

Milloes, 2011), allowing for more precise area estimates (global accuracy + location mismatch): 91%, 93% and 90% for the years 1986, 2001 and 2018, respectively. **Tables 3**, **4** and **5** show the contingency matrices performed to evaluate the outcome of the classifications of collection 3 for the years 1986, 2001 and 2018 from the independent data set explained above. **Figure 7** shows the user and producers accuracies (the opposite of commission and omission errors, respectively) of each category. The lowest accuracies (in percentage) occurred in the forestation class in 1986, mainly due to confusion with natural woody cover. This was associated with the low proportion of forest plantations in that year and the difficulty of discriminating between these coverages without higher resolution images. Another important confusion was associated with the mixing of wetlands with grasslands, associated with the change in flooding levels of floodable grasslands. The largest number of points incorrectly classified (by inclusion or omission) was due to confusion between grassland and the agriculture/pasture class. The high physiognomic similarity and the intra class heterogeneity of the spectral response of both natural grasslands (different communities, landscape positions, location in the study area) and sown pastures (different species, sowing dates, pasture age, etc.) generate an overlapping of the spectral signatures of both coverages, which explains the confusion in some of the evaluated points.

	Reference								
C	<b>Classes</b>	Natural woody vegetation	Forest plantation	Wetland	Grassland	Agriculture or pasture	Non-vegeta ted area	River, lake or ocean	<b>Total</b>
	woody Natural vegetation	145	7	5	24	6	0	0	187
a s	Forest plantation	3	6	1	2	1	0	0	13
s	Wetland	8	1	106	6		0	1	123
	Grassland	58	3	64	694	72	4	0	895
c a	Agriculture or pasture	14	1	24	218	581	1	0	839
$\mathbf{o}$ n	Non-vegetated area		0	1	11	4	22	0	39
	lake River, <b>or</b> ocean	$\Omega$	0	3	1	1	0	65	70
	<b>Total</b>	229	18	204	956	666	27	66	2166

**Table 3.** Contingency matrix resulting from comparing the 1986 map with the independent data set of the same year (Collection 3).

	$\overline{\phantom{a}}$ Reference								
C	<b>Classes</b>	Natural woody vegetation	Forest plantation	Wetland	Grassland	Agriculture or pasture	Non-vegeta ted area	River, lake or ocean	<b>Total</b>
	woody Natural vegetation	146	14	3	26	8	0	0	197
a s	Forest plantation	$\overline{2}$	19	$\mathbf 0$	4	1	0	0	26
s ÷.	Wetland	8	1	91	9	8	0	7	124
j.	Grassland	28	8	42	569	170	1	1	819
c a	Agriculture or pasture	$\overline{2}$	$\overline{2}$	10	66	739	0	0	819
$\mathbf{o}$	Non-vegetated area	$\Omega$	$\Omega$	$\mathbf 0$	11	0	17	0	28
n	lake River, or ocean	0	$\mathbf 0$	4	1	0	0	71	73
	<b>Total</b>	186	44	147	686	926	18	79	2086

**Table 4.** Contingency matrix and omission and commission errors resulting from comparing the 2001 map with the independent data set of the same year (collection 3).

**Table 5.** Contingency matrix and omission and commission errors resulting from comparing the 2018 map with the independent data set of the same year (Collection 3).

	Reference								
C ı a s	<b>Classes</b>	Natural woody vegetation	Forest plantation	Wetland	Grassland	Agriculture or pasture	Non-vegeta ted area	River, lake or ocean	<b>Total</b>
	woody Natural vegetation	150	9	$\overline{2}$	21	6	0	$\Omega$	188
	Forest plantation	3	55	0	1	4	0	$\mathbf 0$	63
s j.	Wetland	7		85	6	9	0	7	115
f j. c a t j. $\mathbf{o}$ n	Grassland	24	9	39	438	193	1	1	705
	Agriculture or pasture	0	0	14	35	848	$\overline{2}$	$\Omega$	899
	Non-vegetated area	$\Omega$		1	10	2	17	$\Omega$	31
	lake River, or ocean	0	0	$\overline{2}$	0	1	0	71	74
	<b>Total</b>	184	75	143	511	1063	20	79	2075



**Figure 7.** User and producer accuracies for each of mapped class in each evaluated year (collection 3).

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